



From the Centre Hospitalier Vétérinaire Frégis, Arcueil, France

Folded flap palatoplasty for treatment of elongated soft palates in 55 dogs

L. FINDJI and G. DUPRÉ

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Schlüsselwörter: brachycephales Syndrom, verlängertes Gaumensegel, Hyperplasie des weichen Gaumens, Faltlappenplastik.

Summary

It was the aim of the present study to evaluate the safety and efficacy of the folded flap palatoplasty (FFP), a new surgical technique addressing all components of the respiratory obstruction caused by elongated soft palates, and to evaluate the clinical outcomes associated with it.

Medical records (2004-2005) of all dogs which underwent a FFP were reviewed and included in the study. Recorded information included breed, gender, age, body mass, duration of hospitalisation, and presence or absence of postoperative tracheostomy. Respiratory grading scores (1-3) were used to record the severity of the disease, before and after surgery, and at a minimum follow-up time of 180 days by detailed telephone interviews with the owners.

No intraoperative complications were encountered. A temporary tracheostomy was performed in 6 cases (10.9 %). 2 dogs died postoperatively from tracheostomy complications and unknown cause after unremarkable recovery, respectively. Follow-up (379 ± 142 days) could be obtained for 40 dogs. 39 dogs (97.5 %) showed improvement of respiratory clinical signs after surgery. Improvement of respiratory clinical signs was observed within 15 days after surgery in 85 % of the cases.

The FFP can be recommended as a safe and efficient technique, particularly valuable for excessively thick elongated soft palates.

Zusammenfassung

Einsatz einer Faltlappenplastik des weichen Gaumens zur Behandlung des verlängerten Gaumensegels bei 55 Hunden

Im Rahmen dieser Studie wurden die Sicherheit und Effizienz einer Faltlappenplastik (FFP) untersucht und eine neue chirurgische Technik beschrieben, welche alle Komponenten einer Atemwegsobstruktion, verursacht durch ein verlängertes Gaumensegel, berücksichtigt. Das daraus resultierende Ergebnis wurde evaluiert.

Es wurden die Krankengeschichten (2004-2005) von allen Hunden, die einer FFP unterzogen worden waren, überprüft und in diese Studie aufgenommen. Unter den aufgezeichneten Daten befanden sich Rasse, Geschlecht, Alter, Körpermasse, Dauer des Spitalsaufenthaltes und das Setzen/Nicht-Setzen eines Tracheotomietubus. Ein Benotungsschema für die Atmung wurde verwendet (1-3), um den Schweregrad der Erkrankung vor und nach der Operation erfassen zu können. Die minimale Zeit der Nachkontrolle der Fälle betrug 180 Tage, sie erfolgte in Form detaillierter Telefonbefragungen der Besitzer.

Es wurden keine intraoperativen Komplikationen beschrieben. Eine temporäre Tracheostomie wurde in 6 Fällen durchgeführt (10,9 %). 2 Hunde starben postoperativ: ein Hund durch eine Tracheostomiekomplication, ein Hund aus unbekannter Ursache, nach einer unauffälligen Aufwachphase aus der Narkose. Ein Follow-up der operierten Hunde konnte bei 40 Hunden erzielt werden (379±142 Tage). 39 Hunde (97,5 %) zeigten eine klinische Verbesserung der Atmung nach erfolgtem chirurgischem Eingriff. In 85 % der Fälle war diese Besserung innerhalb von 15 Tagen postoperativ zu beobachten.

Somit kann die FFP als eine sichere und effiziente Methode empfohlen werden, welche vor allem bei übermäßig verdicktem Gaumensegel wertvoll ist.

Abbreviations: BAS = brachycephalic airway syndrome; CT = computed tomodensitometry; ESP = elongated soft palate; FFP = folded flap palatoplasty; MRI = magnetic resonance imaging

Introduction

Elongated soft palate (ESP) is part of brachycephalic airway syndrome (BAS), a widespread condition in brachycephalic dogs. Although recent studies have demonstrated the high incidence of BAS-associated digestive lesions and the benefits resulting from their medical treatment regarding outcome and prognosis (PONCET et al., 2005,

2006), surgical relief of the upper airway obstruction still constitutes the cornerstone of its treatment.

Up to 100 % of brachycephalic dogs are reported to suffer from ESP (WYKES, 1991; PONCET et al., 2006), which can cause laryngeal obstruction due to aspiration to the rima glottidis during inspiration. Elongated soft palates also commonly demonstrate excessive thickness, which is considered to cause narrowing and obstruction of the naso-

and oro-pharynx, further contributing to respiratory compromise in affected individuals. Conventional surgical techniques used for correction of ESP consist of its shortening by resection of its caudal aspect (staphylectomy). These techniques address the laryngeal obstruction but are unlikely to achieve significant relief of the nasopharyngeal and oropharyngeal obstructions (DUPRÉ and FINDJI, 2004). Recently, a new surgical technique has been devised to address and relieve all the components of airway obstruction associated with ESP (DUPRÉ and FINDJI, 2004; DUPRÉ et al., 2005). The aim of this study was to assess whether FFP is suitable for treatment of ESP, and whether it is associated with good results and few complications.

Material and methods

Inclusion criteria

Medical records of all the dogs which had a folded flap palatoplasty (FFP) between March 2004 and October 2005 were reviewed. During this period, FFP was used exclusively for treating ESP. Recorded information included breed, age, body mass, birth date, duration of hospitalisation and the requirement for temporary tracheostomy in the postoperative period. Whenever possible, detailed telephone interviews with owners, using a consistent questionnaire, were obtained with a minimum follow-up of 180 days.

Clinical assessment and anaesthesia

Upon admission, clinical history was obtained from the owners. The severity of respiratory clinical signs was then graded by the admitting clinician using a 1 to 3 score, according to the scale established by PONCET et al. (2005; Tab. 1). The degree of nare stenosis was subjectively evaluated.

Food and water were withheld for a minimum of 15 hours before anaesthesia. Premedication included 0.05 mg/kg acepromazine (Calmivet®; Vétoquinol, Lure, France), 0.2 mg/kg dexamethasone (Dexadreson®; Intervet, Angers, France), 0.5 mg/kg metoclopramide (Primperid®; Sanofi, Paris, France) and 0.01 mg/kg glycopyrrolate (Robinul®; Vétoquinol), all administered intramuscularly. General anaesthesia was induced with intravenous thiopental (5-10 mg/kg i.v.; Nesdonal®; Merial, Lyon, France) or propofol (3-5 mg/kg i.v.; Rapinovel®; Schering-Plough, Levallois Perret, France) and maintained with isoflurane (Aerrane®; Baxter, Maurepas, France), in 100 % oxygen.

On induction, direct visual or endoscopic evaluation of the upper airway was carried out by the surgeon. The soft palate was examined with regards to its length and thickness by manipulation and palpation with forceps. The tonsils, the pharynx and the larynx were subjectively evaluated.

Surgical procedure

The dog was placed in ventral recumbency. The head was restrained with the mouth kept open. The tongue was pulled rostrally and fixed with tape to allow better exposure of the oropharynx (Fig. 1). After surgical preparation of the oral cavity, the caudal edge of the soft palate was grasped with forceps or traction sutures and retracted rostrally, until the caudal opening of the nasopharynx could be visualised. The retracted caudal edge was then applied on the

ventral mucosa of the soft palate and the point at which the contact was made (usually 1 or 2 cm caudal to the palatine process of the palatine bone) was marked with an electrocautery cut. The ventral mucosa of the soft palate was then incised in a trapezoidal shape from this mark rostrally to the free edge of the soft palate caudally. Laterally, the sides of the trapezoid passed just medially to the tonsils (Fig. 2). The soft tissues under the cut portion of the soft palate were excised together with the ventral mucosa of the soft palate, the palatinus muscles and part of the levator veli palatini muscle (Fig. 3). The dissection ended when this portion of the soft palate was reduced to the nasopharyngeal mucosa and submucosa. The caudal edge of the soft palate was retracted rostrally to the rostral edge of the trapezoidal incision (Fig. 4). The soft palate was then sutured folded on itself with interrupted monofilament absorbable sutures (glycomer 631, Biosyn®; Tyco, Elancourt, France) (Fig. 5).

The mouth was then freed and closed. When stenotic nares were diagnosed, dogs subsequently underwent a vertical wedge resection rhinoplasty (MONNET, 2003) during the same surgical procedure. At the time of the study, non absorbable monofilament sutures were still used for rhinoplasty, as previous attempts to use absorbable suture material resulted in more inflammatory wounds and poorer cosmetic results.

Postoperative care

After surgery, the mouth and pharynx were washed to remove debris, clots and saliva, and a nasotracheal tube (Pediatric feeding tubes 40 cm, 6 to 10 FG, Salva, Unomedical, Birkerød, Denmark) was placed for postoperative oxygen therapy (50 ml/kg/min). In cases considered at risk from life-threatening pharyngeal or laryngeal obstruction because of excessive secretions, vomiting or laryngeal collapse, a temporary tracheostomy tube was placed (Jackson double-lumen tracheostomy tube [Tracheostomy tube Rüscheleit Biesalski, 7 and 8 mm, Rüsche, Kernen, Germany]). Additional postoperative care consisted of appropriate administration of dexamethasone, metoclopramide, or glycopyrrolate and intra-oral suction, chest percussion and tracheostomy tube care if needed. Dogs were discharged from hospital when no specific nursing care had been necessary for at least 12 hours. Duration of hospitalisation was recorded.

Follow-up

Dogs which underwent a rhinoplasty were re-evaluated at the time of suture removal and an interview with the owners was conducted with a minimum of 6 month follow-up, using a consistent questionnaire (Tab. 2), for all dogs.

Statistical analysis

Categorical data (e.g. breed or gender) are reported as frequencies and percentages. Continuous data (e.g. age at presentation or hospitalisation duration) are reported as mean \pm SD. Chi square analyses were used to study the distribution of males and females in the studied population, and to evaluate the significance of the changes in the repartition of the animals between grades before and after surgery. t-tests were used to evaluate whether the duration of hospitalisation was different for animals which underwent a tracheostomy compared to those which did not. The



Fig. 1: Positioning of the dog for surgery

use of t-tests was possible after a Kolmogorov-Smirnov test indicated no significant deviation from normal distribution. For every statistical test, significance was established at $p < 0.05$. All analyses were performed with commercial statistical software (SPSS for Windows 14.0, SPSS Inc., Chicago, IL).

Results

Epidemiological data

55 dogs underwent a FFP between March 2004 and October 2005 and were included in this study. 45 dogs were males (81.8 %) and 10 were females (18.2 %). Significantly more males were affected ($p < 0.001$). Age at the time of surgery ranged from 6 to 105 months (mean 39 ± 22.6 months, median 34.6 months). 8 different breeds were represented: French bulldog ($n=32$, 58.2 %), Pug ($n=8$, 14.5 %), English bulldog ($n=7$, 12.7 %), Boxer ($n=3$, 5.5 %), King Charles Spaniel ($n=2$, 3.6 %), Norwich terrier ($n=1$, 1.8 %), Sharpei ($n=1$, 1.8 %) and Shi Tzu ($n=1$, 1.8 %). Body mass at the time of surgery ranged from 6.5 to 46 kg (mean 14.9 ± 7.9 kg, median 12.3 kg).

Clinical findings

Grading was recorded for dogs whose follow-up could be obtained (Fig. 6). 3 dogs had undergone prior conventional staphylectomy 8 months, 1 and 4 years before sur-

gery, respectively, but showed clinical signs of nasopharyngeal and oropharyngeal obstructions due to excessive thickness of the remaining soft palate that necessitated reintervention.

Stenotic nares were diagnosed in 50 dogs (90.9 %). One dog had already been treated surgically, and 4 dogs had normal nares.

One dog suffered from laryngeal paresis and laryngeal saccule eversion. One dog had undergone prior lateralization of left arytenoid cartilage 3 months before surgery.

Surgical procedure

All dogs underwent a FFP. No intraoperative complications were encountered. Rhinoplasty was performed in 50 dogs. In one dog, concomitant severe laryngeal collapse and laryngeal oedema required arytenoid lateralization and temporary tracheostomy in the early postoperative period. No other surgical procedures addressing the respiratory tract were performed concomitantly.

Postoperative care and treatment

A temporary tracheostomy was performed in 6 cases (10.9 %). These 6 dogs were suffering from grade 3 respiratory clinical signs before surgery. All dogs had oxygen therapy provided through either the tracheostomy or a nasotracheal tube. Mean duration of hospitalisation was 1.6 ± 1.1 days (range 1 to 6 days). It was 1.3 ± 0.5 days (range 1 to 2 days) for dogs which did not have a tracheostomy performed and 4.4 ± 1.1 days (range 3 to 6 days) for dogs which did, which was significantly longer ($p=0.003$).

2 dogs died perioperatively (3.6 %). One dog had had a tracheostomy and died 16 hours after surgery from respiratory distress caused by excessive tracheal secretions, despite close tracheal tube surveillance. The other dog died from cardiovascular collapse of undetermined origin, 12 hours after surgery, after unremarkable recovery. Post-mortem examinations of these dogs were declined by the owners.

All other 53 dogs (96.4 %) were discharged from hospital without complication.

Follow-up

A minimum of 6 month follow-up could be obtained for 40 dogs. 13 dogs were lost to follow-up. Follow-up ranged from 183 to 715 days (379 ± 142 days).

The evolution of respiratory grades between preoperative and postoperative periods and follow-up are illustrated in Fig. 6. Respiratory grades were improved significantly between preoperative and postoperative periods ($p < 0.001$), and between preoperative and follow-up periods ($p < 0.001$). On the contrary, no significant difference was found in respiratory grades between postoperative and follow-up periods ($p=0.497$). The times from surgery to improvement of respiratory clinical signs are shown in Fig. 7.

No pharyngonasal regurgitations or nasal discharge were reported, either at time of suture removal or at follow-up.

Discussion

Although seldom reported in the literature, excessively thick ESP has previously been mentioned (AMIS and KURPERSHOEK, 1986; HENDRICKS et al., 1987). Its

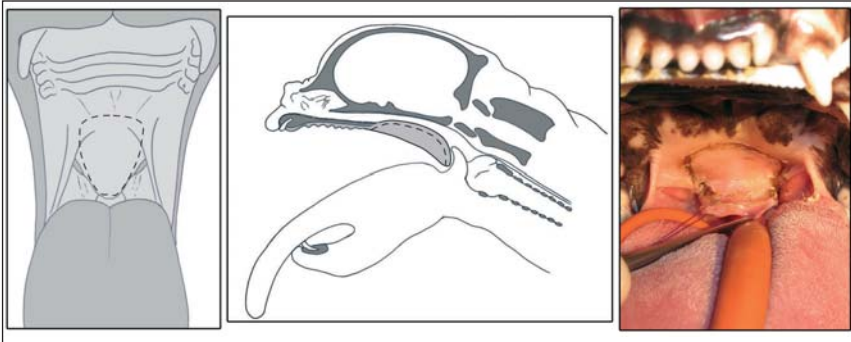


Fig. 2: Incision line (frontal, sagittal and intraoperative views)

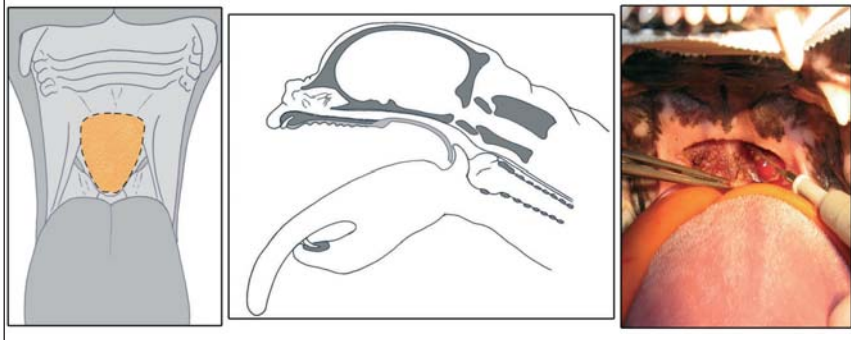


Fig. 3: End of dissection (frontal, sagittal and intraoperative views)

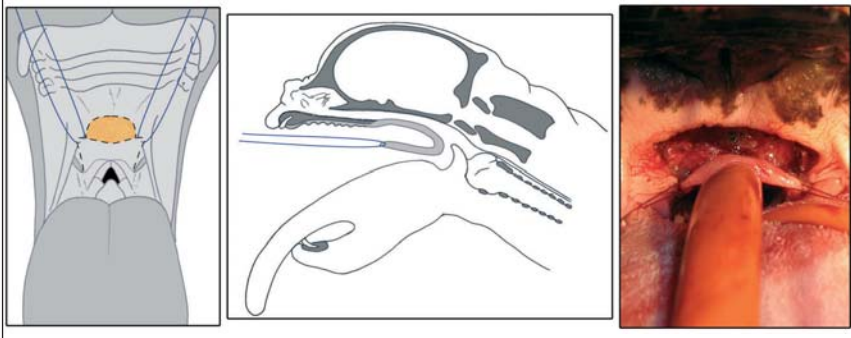


Fig. 4: Soft palate folding (frontal, sagittal and intraoperative views)

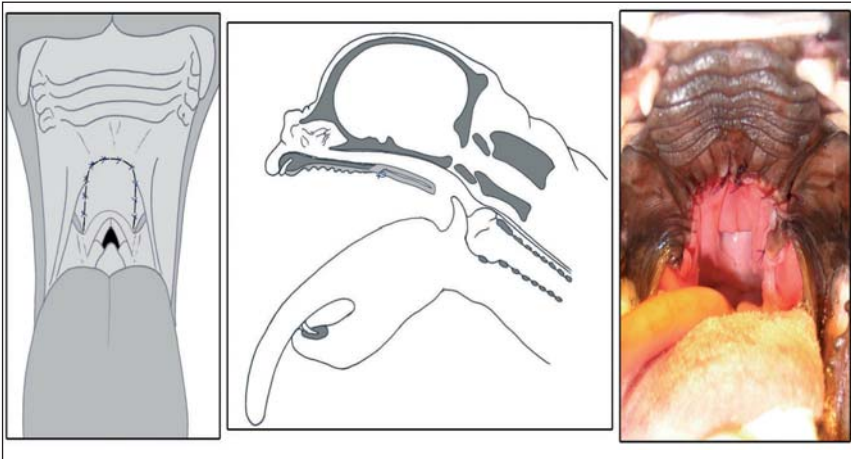


Fig. 5: Sutured flap (frontal, sagittal and intraoperative views)

incidence seems to vary widely from one breed to another, but anatomical studies which could confirm this observation are lacking. In the absence of such studies or precise normal ranges, the diagnosis of excessively thick ESP remains subjective and can be made by ways of direct examination and palpation of the soft palate, or lateral radiographs (Fig. 8 and 9), CT scan or MRI of the pharyngeal area. Ideally, imaging should be done without oral intubation, which is not without risks in brachycephalic

dogs. It can also be made retrospectively, after surgical excision, by direct examination of the excised tissues. In this preliminary retrospective study, the thickness of the soft palate in pre and postoperative periods was not recorded. The assessment of the oro- and nasopharyngeal obstructions would however have been of greater relevance but would have required advanced diagnostic imaging means (CT scan or MRI) and was not technically achievable in our hospital. In the absence of advanced

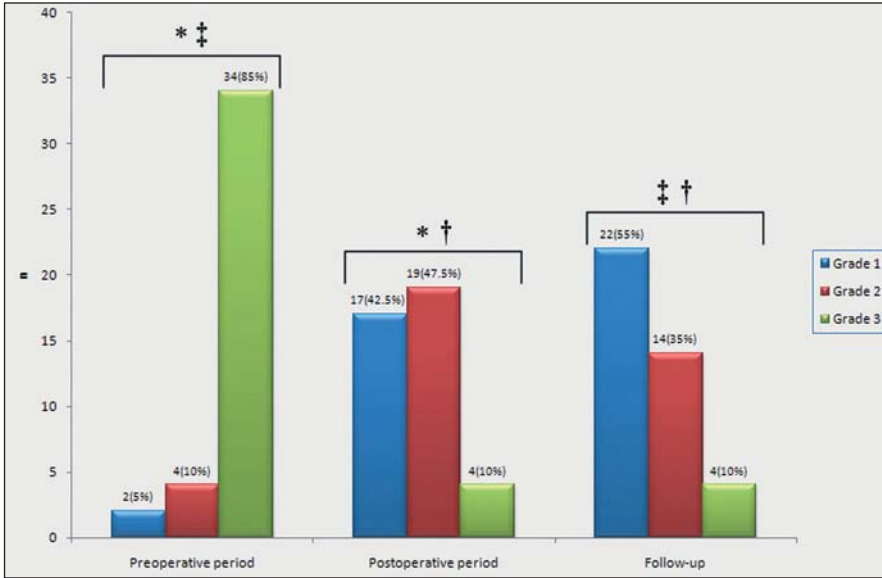


Fig. 6: Distribution of respiratory grades in preoperative and postoperative periods, and at follow-up (*, ‡: preoperative/postoperative and preoperative/follow up distributions are significantly different, $p < 0.001$; †: postoperative/follow-up distributions are not significantly different, $p = 0.497$).

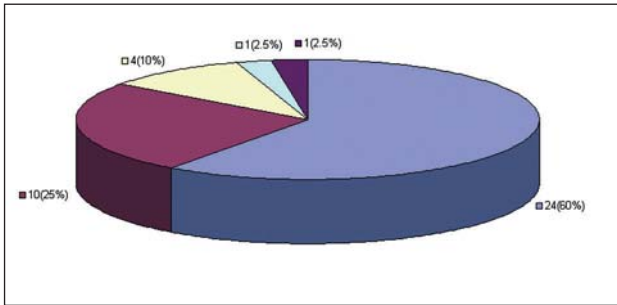


Fig. 7: Time from surgery to improvement of respiratory signs

diagnostic imaging, the most accurate assessment of the preoperative soft palate thickness is obtained retrospectively, after the FFP has been performed, and the soft palate has been dissected and excised (Fig. 10). Over the study period, the FFP was used exclusively, regardless of the preoperative subjective assessment of the soft palate thickness, which is therefore facultative. Further studies including pre- and postoperative objective measurements of the oro- and nasopharyngeal volumes by means of CT scan or MRI would, however, be valuable.

The FFP thins the soft palate by excising most of the connective and muscular tissues responsible for its excessive thickness, and thus relieves oropharyngeal and nasopharyngeal obstructions (DUPRÉ and FINDJI, 2004; DUPRÉ et al., 2005). This study is to date the first report on the outcome of a series of dog undergoing this surgical procedure.

Previously described surgical techniques aim at shortening the ESP (HARVEY, 1982b; CLARK and SINIBALDI, 1994) to relieve the laryngeal obstruction it causes. The FFP achieves the same effect as it shortens the soft palate by folding it on itself. However, with the FFP, the ESP is left shorter than usually recommended (MONNET, 2003) to achieve thinning of the soft palate on its entire length; the nasopharyngeal opening is most often directly visible in the mouth after the procedure (Fig. 5). Excessive shortening of ESPs is thought to expose to pharyngonasal regurgitations (HARVEY, 1982b; BRIGHT and WHEATON, 1983; WYKES, 1991), as the soft palate is both reported to prevent them actively (HERDT, 1997) and passively

(EVANS, 1993). Furthermore, it is likely that the active movements of the soft palate are greatly diminished, if not suppressed, as most of its muscles are removed during the procedure. However, in this study, no episode of pharyngonasal regurgitation was observed nor reported. It is possible that, in brachycephalic dogs, after marked shortening of the soft palate, its obliterative role is passively carried out by the base of the tongue, pushed dorsally during the swallow reflex (HERDT, 1997) (especially as many of these dogs are macroglossic) and the redundant pharyngeal mucosal soft tissues. Palatine muscles are also reported to shorten the soft palate and to curl its caudal border downwards (HERMANSON, 1993), which eases the air flow through the widened pharynx during breathing. It is possible that the shortening of the soft palate and the rostral position of the suture line, which is thought to widen the pharynx by pulling the caudal border of the soft palate rostrally and ventrally, achieves the same effect.

No intraoperative complications were encountered, though the FFP appears subjectively more technically challenging and longer to perform than conventional techniques. The use of electrocautery is thought to ease the procedure. However, many authors recommend avoiding its use for soft palate surgery (HARVEY and VENKERVON HAAGAN, 1975; BRIGHT and WHEATON, 1983), because it is expected to cause more postoperative oedema than scalpel or scissors, and that such oedema in the pharyngeal area could result in life-threatening airway obstruction. However, use of steroidal anti-inflammatory drugs in the perioperative period minimizes this risk (HAR-

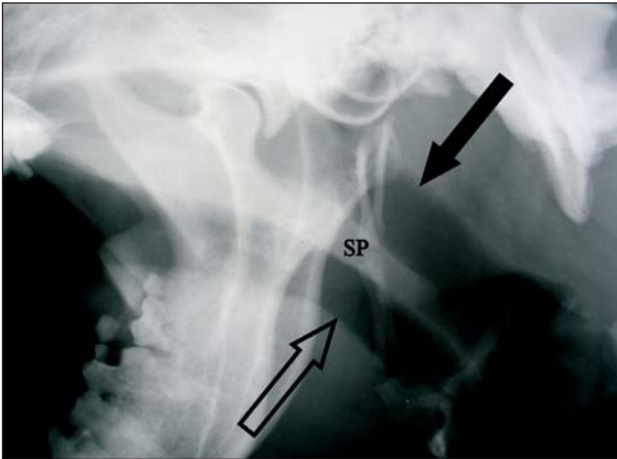


Fig. 8: Lateral radiographic appearance of the pharyngeal region of a mesocephalic dog; note as both the nasopharynx (black arrow) and oropharynx (hollow arrow) are unobstructed by the soft palate (SP).

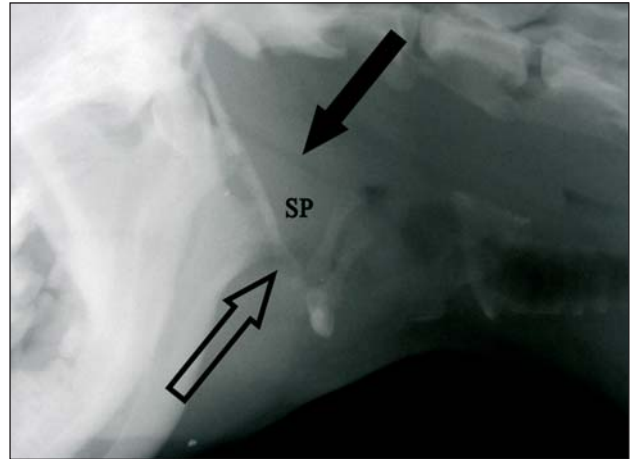


Fig. 9: Lateral radiographic appearance of the pharyngeal region of a brachycephalic dog; note as both the nasopharynx (black arrow) and oropharynx (hollow arrow) are reduced to a thin line of aeric density, as they are nearly completely obstructed by the excessively thick soft palate (SP).



Fig. 10: Excised portion of the soft palate after FFP

VEY, 1982b; DAVIDSON et al., 2001). Besides, with the FFP, the surgical site is displaced rostrally and the possible postoperative oedema or bleeding is likely to be located rostrally in the mouth, away from the pharynx. Furthermore, it is likely that such an oedema would be clinically less significant standing in a greatly thinned soft palate. The FFP is then believed to be less susceptible to the consequences of a possible oedema than conventional techniques, and electrocautery was therefore used here in all cases.

Some authors recommend performing a temporary tracheostomy before surgery to prevent postoperative airway obstruction caused by pharyngeal oedema (HENDRICKS, 1992; ORSHER, 1993) or to decrease encumbrance of the pharyngeal area during surgery (HARVEY and VENKER-VON HAAGAN, 1975). With the FFP, the surgical site is displaced rostrally, rendering the issue of intraoperative encumbrance of the pharynx and the risk for postoperative pharyngeal oedema of lesser importance. A temporary tracheostomy was therefore performed in only 6 dogs (10.9%), always postoperatively when considered required because of possible life-threatening complications. In accordance with some authors (HARVEY and VENKER-VON HAAGAN, 1975), we performed temporary tracheostomies as soon as we first considered it might have been necessary, as it is a low-risk procedure (HAR-

VEY and O'BRIEN, 1982). This might have made their incidence appear higher than considered necessary by some other surgeons. Despite this fact, our incidence compared similarly with previous reports of 5 to 27.9% (HARVEY, 1982b; HARVEY and O'BRIEN, 1982; PONCET et al., 2006; TORREZ and HUNT, 2006).

Males were significantly more likely to be affected in our study ($p < 0.001$). This differs from a study on BAS conducted in Australia in which no sex predisposition is reported (TORREZ and HUNT, 2006), but confirms the observation from a previous series of our centre, where 43 out of 61 dogs (78.7%) were males (PONCET et al., 2006).

The perioperative mortality in our study (3.6%) compares with perioperative mortalities of 0 to 14.8% previously reported (HARVEY, 1982b; LORINSON et al., 1997; PONCET et al., 2006; TORREZ and HUNT, 2006), particularly as one death remained unexplained and may not be related to surgery.

All but one dog (97.5%) showed improvement of their respiratory function after surgery. One dog was considered not to have shown any improvement, but its preoperative clinical signs were limited to constant snoring without any inspiratory efforts, stress or heat intolerance, or syncope. This dog carried on snoring but did not show any other respiratory difficulty.

As in the other clinical studies on this topic (HARVEY,

Tab. 1: Grading of respiratory clinical signs according to PONCET et al. (2005)

Nature of respiratory signs	Never	Occasionally (<once monthly)	Regularly (Once weekly)	Daily (once daily)	Often (>once daily)	Constantly
Snoring						
Inspiratory efforts						
Stress or exercise intolerance						
Syncope						

Grade 1 Grade 2 Grade 3

Tab. 2: Questionnaire for the owners' interview at follow-up

BEFORE SURGERY

1. Please quantify the frequency of the following respiratory clinical signs before surgery:

Clinical signs	FREQUENCY					
	Never	Occasionally (less than once monthly)	Regularly (about once weekly)	Daily (about once daily)	Often (several times daily)	Constantly
> Snoring						
> Inspiratory difficulties						
> Short breathing						
> Exercise or stress intolerance						
> Dizziness, syncope						
Other (specify):						

AFTER SURGERY

2. After surgical treatment, have you observed an improvement of respiratory clinical signs?
 Yes
 No

3. If yes, this improvement has occurred:
 Immediately after surgery
 Within 15 days after surgery
 Within 6 weeks after surgery
 Within 6 months after surgery
 More than 6 months after surgery

4. Please quantify the frequency of the following respiratory clinical signs after surgery:

Clinical signs	FREQUENCY					
	Never	Occasionally (less than once monthly)	Regularly (about once weekly)	Daily (about once daily)	Often (several times daily)	Constantly
> Snoring						
> Inspiratory difficulties						
> Short breathing						
> Exercise or stress intolerance						
> Dizziness, syncope						
Other (specify):						

TODAY

5. Is your dog currently on medication?
 Yes
 No

6. Please quantify the frequency of the following respiratory clinical signs today:

Clinical signs	FREQUENCY					
	Never	Occasionally (less than once monthly)	Regularly (about once weekly)	Daily (about once daily)	Often (several times daily)	Constantly
> Snoring						
> Inspiratory difficulties						
> Short breathing						
> Exercise or stress intolerance						
> Dizziness, syncope						
Other (specify):						

1982a,b; LORINSON et al., 1997; PONCET et al., 2006; TORREZ and HUNT, 2006), the concomitancy of another procedure addressing another component of BAS (here: rhinoplasty) renders impossible to distinguish between the respective participation of each procedure in this improvement. Furthermore, the sole clinical appreciation would probably be insufficient in evaluating the efficacy of each procedure, and dynamic measurements would certainly be required. Because most dogs diagnosed with BAS suffer from several of its components, it seems hardly feasible to design a clinical study in which correction of each of its components is separate and dynamic measurements are performed.

Improvement was rapidly observed (immediately in 61.5 % of cases, within 15 days in 87.1 % of cases), and was durable since at a mean follow-up of 379 days, 82.5 % of dogs had improved their respiratory score by at least 1 point. Notably, some dogs were considered to be improved by their owners but stayed in the same grading category, often because of persistent snoring despite improvement of other respiratory clinical signs. On the other hand, our results support the well spread conception that, although surgically treated and markedly improved, these dogs can rarely be considered normal as for their respiratory function: 35 % and 10 % of dogs were still graded 2 and 3, respectively, for respiratory signs at time of follow-up.

During the study period, the FFP was used exclusively for treatment of elongated soft palate, and has shown to be safe and efficient regardless of the soft palate thickness. It can therefore be used for any ESP and will be most valuable if the soft palate appears, either pre or intraoperatively, to be excessively thick.

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Authors' address:

Dr. Laurent Findji, current address: Veterinary Referrals Cancer and Critical Care, 1 West Mayne, Bramston Way, Southfields, Laidon, Essex SS15 6TP, U.K.; Dr. Gilles Dupré, current address: Klinik für Chirurgie und Augenheilkunde, Veterinärmedizinische Universität Wien, Veterinärplatz 1, A-1210 Wien.
e-mail: laurent.findji@gmail.com